

Whistle Blower Policy / Vigil Mechanism

Many a violation does not affect an individual directly but is detrimental to the organization's interest. Individuals hesitate to report such violations out of fear or indifference. The Whistle Blower's policy / Vigil Mechanism provide a mechanism for an individual to report violations without fear of victimization.

When the director/employee sees violations of integrity norms, he may not be directly aggrieved, but may have information that organizational interests are being compromised. This may be unethical behavior, suspected or actual fraud, violation of the Code of Conduct etc.

Some examples are persons taking bribes, confidential information being leaked out, misuse of company's resources, favors shown or demanded from business associates/partners, violation of statutory requirements, etc. Violation of integrity norms may also include instances of leak of Unpublished Price Sensitive Information (UPSI) under the SEBI (Prohibition of Insider Trading) Regulations, 2015

Before reporting such events, the director/employee has to ascertain that a violation has actually occurred and that the act is not based on what can be termed as a normal business decision. In all such cases, the director/employee will address the complaint to any member of the Enforcement Committee along with the available details and evidence to the extent possible. In case, the complaint is received by a person other than a enforcement committee member, the same will be forwarded by him to the enforcement committee.

As a rule, anonymous complaints will be accepted. The identity of the complainant, if provided, will be protected and will be known only to the Enforcement Committee.

The Enforcement Committee may meet the complainant, if necessary. They may also appoint any suitable person or group of persons to investigate the case but will ensure that the identity of the complainant is protected.

Whistle Blower will be protected from any kind of discrimination, harassment, victimization or any other unfair employment practice.

The Enforcement Committee will decide the case and recommend action within four weeks to the CEO/ Managing Director. The final action to be taken will be decided by the CEO/ Managing Director.

The analysis of the case and the action to be taken may not be communicated to the original complainant.

The director in all cases and employee in appropriate or exceptional cases will have direct access with the Chairman of the Audit Committee.

Enforcement Committee will consist of:

- (a) Head – Compliance, Legal and Secretarial
- (b) Head – IT
- (c) Head – Operations and Finance, and
- (d) Head – Marketing

Head – Human Resources will chair the Enforcement Committee. The Enforcement Committee will report to the CEO. Any grievance against any member of the Enforcement Committee should be addressed to the CEO/ Managing Director.

Enforcement Committee email ID: whistleblower@bajajamc.com

Place: Pune

Ganesh Mohan

Chief Executive Officer

Date: 26 December 2023

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